

14461 U.S. PTO  
09/19/03

COMMISSIONER FOR PATENTS

Box 1450

Alexandria, VA 22313-1450

03940 U.S. PTO  
10/666707  
09/19/03

Sir:

Transmitted herewith for filing is the patent application of

Inventor(s): **Ralph de la Torre, William E. Cohn, Jose Luis Francese and Matthew A. Palmer**

For: **PERICARDIAL RETRACTOR**

Enclosed are:

<input type="checkbox"/>	13	Sheets of drawings
<input type="checkbox"/>		Assignment Recordation Sheet
<input type="checkbox"/>		Assignment of Invention to:
<input checked="" type="checkbox"/>	X	Entitled to small entity status under 37 C.F.R. 1.9 and 1.27
<input checked="" type="checkbox"/>	X	Declaration and Power of Attorney document
<input type="checkbox"/>		Preliminary Amendment and Remarks
<input checked="" type="checkbox"/>	X	Other: <b>Non-Publication Request and Certification</b>

The filing fee has been calculated as shown below:

			SMALL ENTITY		OR	LARGE ENTITY	
FOR	NO. FILED	NO. EXTRA	RATE	FEE		RATE	FEE
BASIC FEE				\$ 375			\$ 760
TOTAL CLAIMS	25 - 20	5	X 9	45		X 18	
INDEP CLAIMS	2 - 3	0	X 42			X 84	
MULT. DEPENDENT CLAIMS PRESENTED			+140			+280	
			TOTAL \$ 420			TOTAL \$	

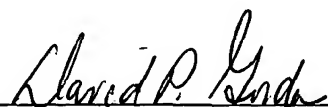
☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_.  
A duplicate copy of this sheet is enclosed.

☒ A check in the amount of **\$420** to cover the filing and/or assignment recordation fee is enclosed.

☒ The Commissioner is hereby authorized to charge any additional filing fees required under 37 CFR 1.16 associated with this communication or credit any overpayment to Deposit Account No. **07-1732**. A duplicate copy of this sheet is enclosed.

☐ Other:

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David P. Gordon  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Ralph de la Torre et al.

SERIAL NO.:

GROUP ART UNIT:

FILED: September 19, 2003

EXAMINER:

FOR: Pericardial Retractor

ATT'Y DOCKET NO.: MED-019

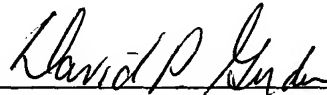
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Sir:

CERTIFICATE OF MAILING BY EXPRESS MAIL

"Express Mail" Mailing Number EV 315 685 680 US  
Date of Deposit: September 19, 2003

I hereby certify that the attached DESIGN patent application, identified above, filing fee and supporting documents, as noted on the Transmittal Sheet, are being deposited, on the date indicated above, with the United States Postal Service as "Express Mail" in an envelope addressed to the Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450.



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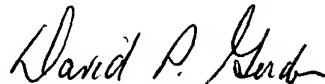
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Sir:

NON PUBLICATION REQUEST AND CERTIFICATION  
UNDER 35 U.S.C. 122(b)(2)(B)(i)

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen-months after filing. I hereby request that the attached application not be published under 35 USC Section 122(b).

Respectfully submitted,



David P. Gordon  
Reg. #29,996  
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September 19, 2003